

# Annex

to Raiffeisen Bank Zrt.'s (the "Bank") Business Conditions for Investment Services

## List of information and notifications to be sent to the Customers electronically (in e-mail)

*Effective as of 1 December 2020*

### **I. When investment services are used via telecommunications devices:**

- Ex-ante cost information on the fees and charges connected to investment and ancillary services (sent upon the conclusion of the contract entitled "*Framework Agreement for Exchange-Listed and OTC Spot and Derivative Transactions, and Structured Deposits*", as well as upon any change);
- Information on ex-ante costs and charges disclosure in relation to the conclusion of concrete transactions;
- Evaluation of MiFID Investor Questionnaire;
- Result of MiFID test and target market assessment;
- Result of MiFID test and target market assessment and suitability test in the case of investment advisory services;
- Investment consultation and suitability report (advisory protocol);
- Individual product information materials (KIID, KID) not included in the Bank's website.

### **II. When investment services are used in any way and any channel:**

- Ex-post cost information on the fees and charges connected to investment and ancillary services (once a year);
- Interim suitability report (in the case of advisory customers);
- Information materials to be provided to the Customer in accordance with the laws concerning the exercise of different shareholder rights in exchange-listed companies, the identification of shareholders, the forwarding of information, and the facilitation of the exercise of shareholder's rights (the "SRD rules") in respect of the Customer's exchange-listed equities;
- Any information, notification or information request to be sent to the Customer that does not qualify as mandatory under a law, a contract, or any provision or rule that is mandatory for the Bank or its Customer, and that the Bank in its sole discretion wishes to send to its Customers electronically.

**Please take note that customers who fail to provide their e-mail address to the Bank shall not be entitled to use the Bank's investment and ancillary services via telecommunications devices (telephone), and expressly waive the opportunity to receive information provided by the Bank under the SRD rules, accepting that responsibility for any and all legal consequences of such waiver shall lie with such Customers.**